IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

AKINS ET AL.

GRP. ART UNIT: UNKNOWN

APPL. NO.: FILED:

UNKNOWN JUNE 25, 2003 **EXAMINER:** DOCKET NO .: UNKNOWN A-9233

TITLE:

METHOD FOR PARTIALLY ENCRYPTING PROGRAM DATA

JUNE 25, 2003

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

M	(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
	under 37 CFR 1.97(c) together with either a: Statement Under 37 C.F.R. 1.97(e), or a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a: Statement under 37 CFR 1.97(e), and a \$180.00 petition fee set forth in 37 CFR 1.17(i)(1). (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge \$0.00 to deposit account 19-0761. At any time during the pendency of this application, please charge any fees required to Deposit Account 19-0761 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 19-0761.

 \boxtimes Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

The Cited Art includes:

1. U.S. 5,418,782 4. U.S. 6,108,365

2. U.S. 5,420,866 5. U.S. 2002/0188567

3. U.S. 6,009,116 6. U.S. 2002/0194613

7. U.S. 2002/0196939 11. U.S. 2003/0046686

8. U.S. 2003/0016949

12. U.S. 2003/0081776

- 9. U.S. 2003/0021412
- U.S. 2003/0026423 10.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

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It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

By:

SEND CORRESPONDENCE TO:

Scientific-Atlanta, Inc.

Intellectual Property Dept. MS 4.3.510

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

SHELLEY L. COUTURIER

Agent of Record

Reg. No.: 47,503

Phone: (770) 236-2352

Fax No.: (770) 236-4806



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

AKINS ET AL.

GRP. ART UNIT: 2132

APPL. NO.:

09/930,901

EXAMINER:

G. BARRON, JR.

FILED:

AUGUST 16, 2001

DOCKET NO.:

A-7598

TITLE:

REPRESENTING ENTITLEMENTS TO SERVICE IN A CONDITIONAL

ACCESS SYSTEM

JULY 19, 2002

INFORMATION DISCLOSURE STATEMENT

Received

JUL 1 9 2002

Commissioner for Patents Washington, D.C. 20231

Technology Center 2100

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

M	under 37 CFR 1.97(b), or (within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
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a.

The Cited Art includes:

1.	4,155,042	5.	4,531,020	9. 4,649,533	13. 4,736,422
2.	4,358,672	6.	4,600,921	10. 4,658,093	14. 4,823,385
3.	4,388,643	7.	4,613,901	11. 4,712,238	15. 4,864,615
4.	4,405,829	8.	4,634,807	12. 4,712,239	16. 4,866,770

1	7. 4,885,777	37. 5,270,822	57. 5,488,410	77. 5,671,276
	8. 4.887,296	38. 5,282,248	58. 5,497,422	78. 5,675,649
	9. 4,912,762	39. 5,282,249	59. 5,499,294	79. 5,740,246
	0. 4,982,430	40. 5,285,497	60. 5,499,295	80. 5,742,677
	1. 4,993,068	41. 5,301,233	61. 5,506,904	81. 5,764,770
	2. 5,003,591	42. 5,341,425	62. 5,509,073	82. 5,787,172
	3. 5,018,196	43. 5,343,527	63. 5,519,780	83. 5,857,020
	4. 5,029,207	44. 5,381,477	64. 5,524,052	84. 5,862,220
	5. 5,036,537	45. 5,381,481	65. 5,550,984	85. 5,870,474
	6. 5,073,935	46. 5,400,401	66. 5,557,678	86. 5,920,626
	7. 5,124,117	47. 5,402,490	67. 5,557,765	87. 6,005,938
	8. 5,142,578	48. 5,414,773	68. 5,559,889	88. 6,105,134
	9. 5,151,782	49. 5,420,866	69. 5,563,950	89. 6,246,767
3	0. 5,155,591	50. 5,425,101	70. 5,565,909	90. RE 33,189
	31. 5,175,765	51. 5,432,542	71. 5,568,552	91. RE 33,808
	32. 5,231,665	52. 5,440,633	72. 5,568,554	92. EP 0 723 371 A
3	33. 5,235,643	53. 5,465,299	73. 5,583,939	93. EP 0 752 786 A
3	34. 5,237,610	54. 5,473,692	74. 5,588,058	94. WO 94/13107 A
3	5. 5,243,652	55. 5,481,542	75. 5,590,202	95. WO 95/29560 A
3	36. 5,249,230	56. 5,481,613	76. 5,621,793	Received

OTHER PRIOR ART

ISO/IEC 13818-1, "Information Technology – Generic Coding of Moving Pictures and Associated Audio Systems," Draft of: November 13, 1994.

ISO/IEC JTC1/SC29/WG11, "Universal Multi-Program Multiplex and Transport for MPEG-2 Systems," January 1993.

ISO/IEC JTC1/SC29/WG11, "An MGEG-2 Multi-Program Multiplex Syntax," January 1993.

ISO/IEC JTC1/SC2/WG11, "Requirements and Method for High-Level Multiplexing of MPEG and Other Digital Service Bitstreams with Universal Transport Layer," November 1992.

Whitfield, Diffie, "Authentication and Authenticated Key Exchanges," DESIGNS, CODES AND CRYPTOGRAPHY, An International Journal, Vol. 2, No. 2, June 1992, pages 107-125.

JUL 1 9 2002

Schneier, Bruce, "Applied Cryptography Second Edition: Protocols, Algorithm and Spin 2100 in C," pages 357-363.

Menezes, Alfred J., "Handbook of Applied Cryptography," pages 506-525.

TM-1244 Rev. 4, "Final Technical Report of the Conditional Access Specialist Group," November 17, 1994.

Coutrot et al., "A Single Conditional Access System for Satellite-Cable and Terrestrial TV," IEEE Transactions on Consumer Electronics, Vol. 35, No. 3, August 1989, pages 464-468.

Louis Claude Guillou and Jean-Luc Giachetti, "Encipherment and Conditional Access," SMPTE Journal, 103 (1994) June, No. 6, White Plains, NY.

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Received

JUL 1 9 2002

Dechnology Center 2100

SEND CORRESPONDENCE TO:

Scientific-Atlanta, Inc.

Intellectual Property Dept. MS 4.3.518

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

Ву: _

HUBERT J. BARNHARDT III

Attorney of Record

Reg. No.: 36,739

Phone: (770) 236-5950

Fax No.: (770) 236-4806

Certificate of Hand Delivery

_, hereby certify that this correspondence with all

attachments was hand-delivered to Examiner G. Barron, Jr. at the United States Patent and Trademark

Office on Ully 19 , 2002.

Signature

Printed Name

Received

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Applica AKINS	nt: ET AL.			Group 132			
	·····			T DOCUMENTS			
Exami ner's Initial		Document No.	Date	Name	Class	Subclass	
277744	AA	4,155,042	5/15/79	Permut et al.			
	AB	4,358,672	11/9/82	Hyatt et al.			
	AC	4,388,643	6/14/83	Aminetzah			
	AD	4,405,829	9/20/83	Rivest et al.		·	
	AE 4,531,020		6/23/95	Weschselberger et al.			
	AF	4,600,921	7/15/86	Thomas et al.			
	AG	4,613,901	9/23/86	Gilhousen et al.			
	AH	4,634,807	1/6/87	Chorley et al.			
	AJ	4,649,533	3/10/97	Chorley et al.			
	AJ	4,658,093	4/14/87	Hellman			
	AK	4,712,238	12/8/87	Gilhousen et al.			
	AL	4,712,239	12/8/87	Frezza			
	AM	4,736,422	4/5/88	Mason			
	AN	4,823,385	4/18/89	Hegendörfer			
	AO	4,864,615	9/5/89	Bennett et al.			
	AP	4,866,770	9/12/89	Seth-Smith et al.			
	AQ	4,885,777	12/5/89	Takaragi et al.			
	AR	4,887,296	12/12/89	Horne			

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:			U.S. PATENT	DOCUMENTS		
Exami ner's Initial	,	Document No.	Date	Name	Class	Subclass
	AS	4,912,762	3/27/90	Lee et al.		
*	AT	4,982,430	1/1/91	Frezza et al.		`
	AU	4,993,068	2/12/91	Piosenka et al		
	ΑV	5,003,591	3/26/91	Kauffman		
	AW .	5,018,196	5/21/91	Takaragi et al.		
	AX	5,029,207	7/2/91	Gammie		
	ΑÝ	5,036,537	7/30/91	Jeffers et al.		
	AZ	5,073,935	12/17/91	Pastor		
	ВА	5,124,117	6/23/92	Tatebayashi et al.		
	ВВ	5,142,578	8/25/92	Matyas et al.		
	ВС	5,151,782	9/29/92	Ferraro		
	BD	5,155,591	10/13/92	Wachob		
	ВE	5,175,765	12/29/92	Perlman		
	BF	5,231,665	7/27/93	Auld et al.		
	BG .	5,235,643	8/10/93	Anderson et al.		
	ВН	5,237,610	8/17/93	Gammie et al.		
	BI	5,243,652	9/7/93	Teare et al.		
	ВЈ	5,249,230	9/28/93	Mihm, Jr.		

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		U.S	S. PATENT	DOCUMENTS			
	Document No.		Date	Name	Class	Subclass	
ВK	5,270,822		12/14/93	Choi			
BL	5,282,248		1/25/91	DeJoy			
ВМ	5,282,249	***************************************	1/25/94	Cohen et al.			
BN	5,285,497		2/8/94	Thatcher, Jr.			
во	5.301,233		4/5/94	Coutrot et al.			
ВР	5,341,425		8/23/94	Wasilewski et al.			
ВQ	5,343,527		8/30/94	Moore			
BR	5,381,477		1/10/95	Beyers III et al.			
BS	5,381,481		1/10/95	Gammie et al.			
BT ·	5,400,401		3/21/95	Wasilewski et al.			
BU	5,402,490		3/28/95	Mihm, Jr.			
BV	5,414,773		5/9/95	Handelman			
BW	5,420,866		5/30/95	Wasilewski			
ВХ	5,425,101		6/13/95	Woo et al.			
BY	5,432,542		7/11/95	Thibadeau et al.			
BZ	5,440,633		8/8/95	Augustine et al.			
CA	5,465,299		11/7/95	Matsumoto et al.			
СВ	5,473,692		12/5/95	Davis			
	BK BL BM BO BP BQ BR BS BT BU BW BW BX BY BZ CA	Document No. BK 5,270,822 BL 5,282,248 BM 5,282,249 BN 5,285,497 BO 5,301,233 BP 5,341,425 BQ 5,343,527 BR 5,381,477 BS 5,381,477 BS 5,381,481 BT 5,400,401 BU 5,402,490 BV 5,414,773 BW 5,420,866 BX 5,425,101 BY 5,432,542 BZ 5,440,633 CA 5,465,299	BK 5,270,822 BL 5,282,248 BM 5,282,249 BN 5,285,497 BO 5,301,233 BP 5,341,425 BQ 5,343,527 BR 5,381,477 BS 5,381,481 BT 5,400,401 BU 5,402,490 BV 5,414,773 BW 5,420,866 BX 5,425,101 BY 5,432,542 BZ 5,440,633 CA 5,465,299	Serial No. O9/930,901 Document No. Date	Serial No.	Variable Color C	Column C

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Attorney Docket No. Serial No. Filing Date A-7598 09/930,901 AUGUST 16, 2001							
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		U.	S. PATENT	DOCUMENTS		·	
Exami ner's Initial		Document No.	Date	Name	Class	Subclass	
	CC	5,481,542	1/2/96	Logston et al.			
	CD	5,481,613	1/2/96	Ford et al.			
	CE	5,488,410	1/30/96	Lieberfarb et al.			
	CF	5,497,422	3/5/96	Tysen et al.			
	CG	5,499,294	3/12/96	Friedman			
	СН	5,499,295	3/12/96	Cooper		,	
	CI	5,506,904	4/9/96	Sheldrick et al.		·	
	CJ	5,509,073	4/16/96	Monnin et al.			
	CK	5,519,780	5/21/96	Woo et al.	·		
<u> </u>	CL	5,524,052	6/4/96	Augustine et al.			
	CM	5,550,984	8/27/96	Gelb	-		
	CN	5,557,678	9/17/96	Ganesan			
	СО	5,557,765	9/17/96	Lipner et al.			
	СР	5,588,058	12/24/96	Le Вегге _.			
	CQ	5,559,889	9/24/96	Easter et al.			
	CR	5,563,950	10/8/96	Easter et al.		·	
	CS	5,565,909	10/15/96	Thibadeau et al.			
	CT	5,568,552	10/22/96	Davis			

Form P	TO-1449		T OF ART CIT	e, Patent and Trade ED BY APPLICA heets if necessary		Technol	ogy Cente
Attorne A-7598	y Docke	t No.	Serial No. 09/930,901	Filing Date AUGUST 16, 2001			
Applica AKINS	nt: ET AL.		21	roup 32			
			U.S. PATENT	DOCUMENTS			
Exami ner's Initial		Document No.	Date	Name	Class	Subclass	
	CU	5,568,554	10/22/96	Eastlake 3rd			
	CV	5,583,939	12/10/96	Chang et al.			
	CW	5,590,202	12/31/96	Bestler et al.			
-	CX 5,621,793 CY 5,671,276		5,621,793 4/15/97 Bednar				· ·
			9/23/97	Eyer et al.			
	CZ	5,675,649	10/7/97	Brennan et al.			
	DA	5,740,246	4/14/98	Saito			
	DB	5,742,677	4/21/98	Pinder et al.			
	DC	5,764,770	6/9/98	Schipper et al.			
	DD	5,787,172	7/28/98	Amold			
	DE	5,857,020	1/5/99	Peterson, Jr.			
	DF	5,862,220	1/19/99	Perlman			
	DG	5,870,474	2/9/99	Wasilewski et al.			
	DH 5,920,626		7/6/99	Durden et al.		·	
	DI	6,005,938	12/21/99	Banker et al.			
	DJ	6,105,134	8/15/00	Pinder et al.			
	DK	6,246,767	6/12/01	Akins, III et al.			
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Attorne A-7598	y Docke		Serial No. 09/930,901			Filing Date AUGUST 16, 2001		
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	DL	RE33,189	3/27/90	Lee et :	ıl.			
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···	DN.	EP 0 723 371 A	7/24/90	6 Europe				
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	DP	WO 94/13107 A	J 6/9/94	PCT Interna	ional			
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Docket No.: A-7598

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

PINDER ET AL.

FILED:

JULY 30, 2003

DOCKET NO.:

A-9158

TITLE:

METHODS AND APPARATUS FOR PROVIDING A PARTIAL DUAL-ENCRYPTED STREAM IN A CONDITIONAL ACCESS OVERLAY

SYSTEM

JULY 30, 2003

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

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under 37 CFR 1.97(d) together with a: Statement under 37 CFR 1.97(e), and a \$180.00 petition fee set forth in 37 CFR 1.17(i)(1). (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge \$0.00 to deposit account 19-0761. At any time during the pendency of this application, please charge any fees required to Deposit Account 19-0761 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 19-0761.

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

The Cited Art includes:

1. Scientific-Atlanta, Inc. Pending Application Serial #Unknown, Atty. Docket No. A-8919, Filing Date: June 25, 2003, Title: "Method for Partially Encrypting Program Data," Inventors: Anthony J. Wasilewski and Howard G. Pinder.

- Scientific-Atlanta, Inc. Pending Application Serial #10/602,986, Atty. Docket No. A-9233, Filing Date: June 25, 2003, Title: "Method for Partially Encrypting Program Data," Inventors: Anthony J. Wasilewski and Howard G. Pinder.
- 3. Scientific-Atlanta, Inc. Pending Application Serial #10/602,988, Atty. Docket No. A-9286, Filing Date: June 25, 2003, Title: "Method for Partially Encrypting Program Data," Inventors: Anthony J. Wasilewski and Howard G. Pinder.

A concise explanation of the relevance of foreign language patents, foreign language
publications and other foreign language information listed on PTO Form 1449, as presently
understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the
content is given on the attached sheet, or where a foreign language patent is cited in a search
report or other action by a foreign patent office in a counterpart foreign application, an English
language version of the search report or action which indicates the degree of relevance found by
the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted, By: SEND CORRESPONDENCE TO: SHELLEY L. COUTURIER Scientific-Atlanta, Inc. Agent of Record Intellectual Property Dept. MS 4.3.510 Reg. No.: 47,503 5030 Sugarloaf Parkway Phone: (770) 236-2352 Lawrenceville, GA 30044 Fax No.: (770) 236-4806 Certificate of Hand Delivery , hereby certify that this correspondence is being hand delivered to the U.S. Patent and Trademark Office on 2003.

2

Form PTO-1449 U.S. Dept. of Commerce, Patent and Trademarks LIST OF ART CITED BY APPLICANT (Use several sheets if necessary										
Attorney Docket No. Serial No. A-9158 UNKNOWN				N		Filing Date JULY 30, 2003				
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	OTHER PRIOR ART (Including Author Title, Date, Pertinent Pages, Etc.) AJ Scientific-Atlanta, Inc. Pending Application Serial #Unknown, Atty. Docket No. A-8919, Filing Date: June 25, 2003, Title: "Method for Partially Encrypting Program Data," Inventors: Anthony J. Wasilewski and Howard G. Pinder.									
	AK	Scientific-Atlanta, Inc. Pending Application Serial #10/602,986, Atty. Docket No. A-9233, Filing Date: June 25, 2003, Title: "Method for Partially Encrypting Program Data," Inventors: Anthony J. Wasilewski and Howard G. Pinder.								
	AL	Scientific-Atlanta, Inc. Pending Application Serial #10/602,988, Atty. Docket No. A-9286, Filing Date: June 25, 2003, Title: "Method for Partially Encrypting Program Data," Inventors: Anthony J. Wasilewski and Howard G. Pinder.								
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UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

PINDER ET AL.

FILED:

JULY 30, 2003

DOCKET NO.:

A-9158

TITLE:

METHODS AND APPARATUS FOR PROVIDING A PARTIAL

DUAL-ENCRYPTED STREAM IN A CONDITIONAL ACCESS

OVERLAY SYSTEM

JULY 30, 2003

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing in the above-identified patent application, please find:

1. X

2 Page Information Disclosure Statement

2. X

Form PTO-1449

3. X

Copy of Cited Art

In the event a fee is required, the Commissioner is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to our Deposit Account No. 19-0761. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted:

SEND CORRESPONDENCE TO:

Scientific-Atlanta, Inc.

Intellectual Property Dept. MS 4.3.510

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

SHELLEY L. COUTURIER

Agent of Record

Reg. No.: 47,503

Phone: (770) 236-2352

Fax No.: (770) 236-4806

Certificate of Hand Delivery

I, <u>Olantic Lovel</u>, hereby certify that this correspondence is being hand delivered to the U.S. Patent and Trademark Office on <u>sulu</u> 30[±], 2003.

Signature

Printed Name

Form P	TO-1449	LIST C	F ART CIT	e, Patent and Trade ED BY APPLICA eets if necessary			
	y Docke		rial No.	Filing Date			
A-9233 UNKNOWN				JUNE 25, 2003			
Applica AKINS	nt: ET AL.			oup. JKNOWN			
		U	.S. PATENT	DOCUMENTS			
Exami ner's Initial		Document No.	Date	Name	Class	Subclas	SS
	AA	5,418,782	5/23/95	Wasilewski	370	73	
	AB	5,420,866	5/30/95	Wasilewski	370	110.1	
	AC	6,009,116.	12/28/99	Bednarek et al.	375	200	
	AD	6,108,365	8/22/00	Rubin et al.	375	130	
	AE	2002/0188567	12/12/02	Candelore	705	51	
	AF	2002/0194613	12/19/02	Unger .	725	118	
	AG	2002/0196939	12/26/02	Unger et al.	380	216	
	AH	2003/0016949	1/23/03	Unger	386	94	,
	AI	2003/0021412	1/30/03	Candelore et al.	380	217	
	AJ	2003/0026423	2/6/03	Unger et al.	380	217	
	AK	2003/0046686	3/6/03	Candelore et al.	725	31	
	AL	2003/0081776	5/1/03	Candelore	380	200	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance. Include copy of this form with next communication to Applicant.